

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 4239-60808
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. § 371</b>		
INTERNATIONAL APPLICATION NO. PCT/US00/07959	INTERNATIONAL FILING DATE 23 March 2000	PRIORITY DATE CLAIMED 24 March 1999
TITLE OF INVENTION INDUCTION OF ANTIGEN-SPECIFIC UNRESPONSIVENESS BY GLIOBLASTOMA CULTURE SUPERNATANTS (GCS)		
APPLICANT(S) FOR DO/EO/US Gene M. Shearer, Jian-Ping Zuo, John E. Coligan, and Claire Chougnet		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. § 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. § 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. § 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. § 371(b) and PCT Articles 22 and 39(1)).</p> <p>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. § 371(c)(2))</p> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul> <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. § 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3))</p> <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). Please enter the Article 19 Amendment, if it has not been entered already, prior to calculating the fees.</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ul> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> Two oaths or declarations of the inventor(s) (35 U.S.C. § 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).</p>		
<b>Items 11. to 16. below concern document(s) or information included:</b>		
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. §§ 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. §§ 3.28 and 3.31 and the Recordal fee of \$120.00 is included.</p> <p>13. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter</p> <p>16. <input checked="" type="checkbox"/> Other items or information:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Written Opinion</li> <li><input type="checkbox"/> Preliminary Examination Report</li> <li><input type="checkbox"/> International Search Report</li> <li><input checked="" type="checkbox"/> Copies of References Cited</li> <li><input checked="" type="checkbox"/> Copy of Request for Correction of Inventor's Name</li> </ul>		



24197

U.S. APPLICATION NO. (Unknown to 37 C.F.R. § 1.492(e)) <b>097936537</b>	INTERNATIONAL APPLICATION NO PCT/US00/07959	ATTORNEY'S DOCKET NUMBER 4239-60808
17. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS (PTO USE ONLY)
<b>BASIC NATIONAL FEE (37 C.F.R. §§ 1.492(a)(1)-(5)):</b>		
Neither International Preliminary Examination fee (37 C.F.R. § 1.482) nor International Search fee (37 C.F.R. § 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1,000.00		
International Preliminary Examination fee (37 C.F.R. § 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... \$860.00		
International Preliminary Examination fee (37 C.F.R. § 1.482) not paid to USPTO but International Search fee (37 C.F.R. § 1.445(a)(2)) paid to USPTO as an International Searching Authority..... \$710.00		
International Preliminary Examination fee paid to USPTO (37 C.F.R. § 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$690.00		
International Preliminary Examination fee paid to USPTO (37 C.F.R. § 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)..... \$100.00		
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> <b>\$ 860.00</b>		
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(e)). <b>\$ 0.00</b>		
CLAIMS	NUMBER FILED	NUMBER EXTRA
Total claims	33 - 20 =	13
Independent Claims	5 - 3 =	2
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$270.00
<b>TOTAL OF ABOVE CALCULATIONS =</b> <b>\$ 1,254.00</b>		
<input type="checkbox"/> Reduction of 1/2 for filing by small entity. Small entity status is claimed for this application. <b>\$ 0.00</b>		
<b>SUBTOTAL =</b> <b>\$ 1,254.00</b>		
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 Months from the earliest claimed priority date (37 C.F.R. §§ 1.492(f)). <b>\$ 0.00</b>		
<b>TOTAL NATIONAL FEE =</b> <b>\$ 1,254.00</b>		
Fee for recording the enclosed assignment (37 C.F.R. § 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. §§ 3.28, 3.31). \$40.00 per property. <b>\$ 120.00</b>		
<b>TOTAL FEES ENCLOSED =</b> <b>\$ 1,374.00</b>		
		REFUND → <b>\$</b>
		CHARGE → <b>\$</b>

a.  A check in the amount of \$ 1,374.00 to cover the above fees is enclosed.

b.  Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c.  The Director is hereby authorized to charge any additional fees that may be required, or credit any overpayment, to Deposit Account No. 02-4550. A duplicate copy of this sheet is enclosed.

d.  Please return the enclosed postcard to confirm that the items listed above have been received.

**NOTE:** Where an appropriate time limit under 37 C.F.R. § 1.494 or § 1.495 has not been met, a petition to revive (37 C.F.R. § 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

KLARQUIST SPARKMAN, LLP  
One World Trade Center, Suite 1600  
121 S.W. Salmon Street  
Portland, OR 97204-2988

  
 SIGNATURE  
 Sheree Lynn Rybak, Ph.D.  
 NAME  
 47.913  
 REGISTRATION NUMBER

cc: Docketing

THE INTERNATIONAL BUREAU OF WIPO

PATENT COOPERATION TREATY  
International Bureau

In Re International Application of: THE GOVERNMENT OF THE UNITED STATES OF AMERICA, AS REPRESENTED BY THE SECRETARY, DEPARTMENT OF HEALTH & HUMAN SERVICES, THE NATIONAL INSTITUTES OF HEALTH

International Application No.: PCT/US00/07959  
International Filing Date: 23 March 2000 (23.03.00)

For: INDUCTION OF ANTIGEN-SPECIFIC UNRESPONSIVENESS BY GLIOBLASTOMA CULTURE SUPERNATANTS (GCS)

Date: September 13, 2001

The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20  
SWITZERLAND

Via Facsimile (41-22) 733 54 28  
Confirmation by Air Mail

**REQUEST FOR CORRECTION OF INVENTORS'S NAME**

Dear Sir or Madam:

Please record a correction in the spelling of the name of one of the applicant/inventors on the above-referenced PCT application. Applicant/inventor Jian-Ping Zou's name should be spelled Jian-Ping **Zuo** (correction underlined and in bold).

If anything further is required, please contact the undersigned.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By William D. Noonan  
William D. Noonan  
Registration No. 30,878

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